

12-09-04

1621

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Practitioner Docket No. 3906.002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Izuo AOKI et al.

DEC 13 2004

Application No.: 09/486,981

Group No.: 1621

Filed: February 28, 2000

Examiner: E. Price

TECH CENTER 1600/2900

For: MOLECULAR COMPOUNDS CONTAINING PHENOL DERIVATIVES AS CONSTITUENT

Mail Stop Amendment - No Fee

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUBMISSION UNDER 37 C.F.R. § 1.704(d) OF INFORMATION DISCLOSURE STATEMENT
WITHIN 30-DAYS OF RECEIPT BY INDIVIDUAL DEFINED IN 37 C.F.R. § 1.56(c)
(NO PATENT TERM ADJUSTMENT)

1. In accordance with the requirements of 37 C.F.R. § 1.704(d), applicant hereby states that each item of information contained in the accompanying information disclosure statement which was cited in a counterpart foreign application communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
2. Accordingly it is respectfully requested that this submission will not be considered a failure to engage in reasonable efforts to conclude prosecution (processing or examination) of the application under paragraphs (c)(6), (c)(8), (c)(9), or (c)(10) of 37 C.F.R. § 1.704.

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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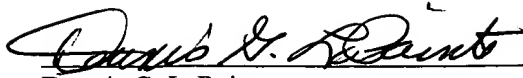
Stephen L. Crist
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Date: 12/8/04 sec

Stephen L. Crist
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* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Date: 12/2/04


Dennis G. LaPointe
Reg. No. 40,693
Customer No. 24040

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

1. Form: PTO/SB/08A (formerly Form PTO-1449)
2. Concise Explanation of Non-English Language Listed Information Items
 - 2A. EPO Search Report
 - 2B. English Language Version of EPO Search Report to the extent provided by the EPO

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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37 C.F.R. § 1.8(a)

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Date: 12/8/04

Stephen L. Crist
Signature

Stephen L. Crist

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* Only the date of filing (' 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under ' 1.8 continues to be taken into account in determining timeliness. See ' 1.703(f). Consider "Express Mail Post Office to Addressee" (' 1.10) or facsimile transmission (' 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

Section 1. Form PTO/SB/08A (formerly Form PTO-1449)

Attached herein

Section 2. Concise Explanation of Non-English Language List Information Items

English abstracts from cited art in a language other than English in companion case at EPO

Section 2A. Concise Explanation of Non-English Language Listed Information Items--EPO Search Report

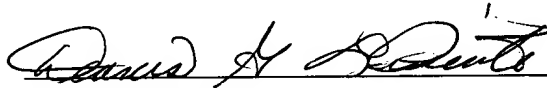
The relevance with respect to the following citations listed on Form PTO/SB/08A (substitute for PTO-1449):

Prior art cited in companion family case at EPO is submitted on the basis of the accompanying EPO search report that is in the English language that issued on the corresponding European patent application.

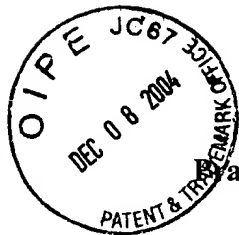
Section 2B. Concise Explanation of Non-English Language Listed Information Items--English Language Version of EPO Search Report

See attached EPO Search Report.

Date: 12/7/04


Dennis G. LaPointe
Reg. No. 40,693
Customer No. 24040

3906c002.Information Disclosure Statement.12072004.doc



Practitioner's Docket No. 3906.002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Izuo AOKI et al.

Application No.: 09/486,981

Group No.: 1621

Filed: 02/28/2000

Examiner: E. Price

For: MOLECULAR COMPOUNDS CONTAINING PHENOL DERIVATIVES AS CONSTITUENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
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EXPRESS MAIL CERTIFICATE

DEC 13 2004

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Date of Deposit 12/07/2004

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I hereby state that the following *attached* paper or fee

Submission of Information Disclosure Statement
Information Disclosure Statement
Form PTO/SB/08a
EPO Search Report
Copies of patents and abstracts listed on Form PTO/SB/08a

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Stephen L. Crist

Stephen L. Crist
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number

09/486.981

Filing Date

02/28/2000

First Named Inventor

Izuo AOKI et al.

Art Unit

1621

Examiner Name

F. Price

Attorney Docket Number

3906.002

~~DEC 13 2004~~

TECH CENTER 1-800-255-8800

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		JP 8183744	07/16/1996	Nippon Soda Co	(Abstract in English)	X
		JP 4316564	11/06/1992	Nippon Soda Co	(Abstract in English)	X
		EP 0648415A2	04/19/1995	Kurita Water		X
		EP 0326262	08/02/1989	Kurita Water		X
		JP 9278717	10/28/1997	Kurita Water	(Abstract in English)	X

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional).² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.